

# TRANSMISSION - ALL HOLDERS DECEASED & NOMINATION NOT REGISTERED

S. No.	Documents required for Transmission	Transmission to Surviving Holders		Sole Holder / All Joint holders	Sole Holder / All Joint holders	Karta of HUF deceased	
		2nd or 3rd Holder deceased	1st Holder deceased	deceased & Nomination registered	deceased & Nomination NOT registered	New Karta Appointed	HUF Dissolved
1.	Prescribed Transmission Request Form	✓ Form T1	Form T2	Form T3	✓ Form T3	✓ Form T4	✓ Form T5
2.	Death Certificate of deceased Unit Holder/s / Karta in original or Photocopy duly attested by a Notary Public or a Gazetted Officer.	✓	1	/	<b>✓</b>	1	1
3.	Copy of Birth Certificate (in case the Claimant is a minor)	NA	✓	/	1	NA	1
4.	KYC of the Claimant / New Karta / Guardian (in case of nominee /claimant being a minor / of unsound mind).	<b>√</b> ∗	<b>√</b> ∗	1	1	1	✓
5.	Cancelled cheque leaf with name and bank account number pre-printed OR copy of bank statement / Photocopy of Bank Passbook with current entries (not older than 3 months) attested by a Notary Public or a Gazetted Officer or Bank Manager.	<b>√</b>	1	✓	✓	1	1
6.	Bank Attestation of Signature of the Claimant/ Guardian (in case the Claimant is a minor) by the Bank Manager as per Annexure-I where Transmission value upto ₹ 200,000:	NA	NA	/	/	NA	/
7.	Bank's letter certifying / attesting the signature and details of new Karta in the bank account of the HUF as per Annexure- 1a	NA	NA	NA	NA	1	NA
8.	Attestation of Signature of the Claimant by a Notary Public or a Judicial Magistrate First Class, if the Transmission value in more than ₹ 200,000: (in the space provided in TRF)	NA	NA	1	s	NA	1

	SUPPORTING LEGAL DOCUMENTS							
	S. No.	Documents required for Transmission	Transmission Hole		Joint holders	Sole Holder / All Joint holders	Karta o decea	
			2nd or 3rd Holder deceased	1st Holder deceased	deceased & Nomination registered	deceased & Nomination NOT registered	New Karta Appointed	HUF Dissolved
(	i)#	Indemnity Bond duly signed and executed by all legal heir/s confirming the claimants (Annexure II) - duly notarised	NA	NA	NA	✓	NA	NA
(	ii)	Individual Affidavit by all legal heir/s (Annexure III) - duly Notarised	NA	NA	NA	✓	NA	NA
(i	iii)	Transmission value upto ₹ 200,000:						
		Document evidencing relationship of the claimant/s with the deceased unitholder/s $$	NA	NA	NA	✓	NA	NA
		NOC from other Legal Heirs (Annexure – IV)	NA	NA	NA	✓	NA	NA
		Any appropriate document evidencing relationship of the new Karta and the other coparceners with the deceased Karta.	NA	NA	NA	NA	1	NA
(i	iv)	Transmission value is more than ₹ 200,000:						
		Notarised copy of the Probated Will OR     Notarised copy Legal Heir certificate or Succession certificate issued by a competent court OR     Notarised copy Letter of Administration, in case of an intestate Succession	NA	NA	NA	<b>/</b>	NA	NA
		Notarized copy of –						
		Deed of Settlement or Deed of Partition or Decree of the relevant competent Court	NA	NA	NA	NA	NA	✓
		In case of no surviving co-parceners and the transmission value is more than $\rat{7}$ 200,000 OR where there is an objection from any surviving members of the HUF	NA	NA	NA	NA	1	NA
(	(v)	Indemnity bond signed by all co-parceners including the new Karta (Annexure $\ensuremath{\mathrm{V}})$	NA	NA	NA	NA	1	NA
(	vi)	Indemnity bond signed by the Claimant, where there is no surviving co-parcener or the HUF has been dissolved/partitioned after demise of the Karta (Annexure VI)	NA	NA	NA	NA	NA	✓

<sup>\*</sup> In case the claimant produces any one of the documents mentioned at (iv) above, where transmission value is more than ₹ 2 Lakhs, then indemnity bond as mentioned at point no (i) would not be required

Note - All the supporting documents should be either notarized or a notarised copy duly attested by a Gazetted Officer with the Name, seal, signature and designation of the attesting official. For HUF, HUF seal to be affixed on all the documents.







Name of the Claimant : Mr./Ms.    Same of the Claimant : Mr./Ms.		(For Transmission of Units on de	ath of the Sole holder / all Joint H	lolders)	
Name of the Claimant : Mr./Ms.    Same of the Claimant : Mr./Ms.				Date:	D M M Y Y
Same of the Claimant: Mr./Ms.  Same of the Guardian	<b>o</b> :				
Same of the Claimant : Mr/Ms.  Same of the Guardian	ne Tru	istees,			
Same of the Guardian in case the claimant is a minor in Date of Birth of the minor. Date of Birth of the Bir		Mutual Fund			
An Marchan Status:   Resident Individual   Resident Minor (through Guardian*   KYC Acknowledgment attached   KYC form attached   An Status:   Resident Individual   Resident Minor (through Guardian)   NR   PIO   Others (please specify)   Others (please	Name	e of the Claimant : Mr./Ms.			
Relationship with Minor   Father   Mother   Court Appointed Guardian*    AN (Claimant Guardian):	Jame	of the Guardian ← in case the claimant is a minor → Date of	Birth of the minor* D D M M Y		
AN (Claimant/Guardian):    KYC Asknowledgment attached   KYC form attached     KYC Asknowledgment attached     KYC					
ax Status: Resident Individual Resident Minor (through Guardian) NRI PIO Others (please apocify)    Posses attach relevant proof	Relatio	onship with Minor: Father Mother Court Appointed Guar	dian*		
the claimant named hereinabove, hereby inform you about the demise of the below mentioned unitholder(s) and request you to transmit the Units held I secessed unitholder(s) in my favour in my capacity as -   Nominiae   Legal Heir   Successor to the Estate of the deceased   Administrator of the Esta	AN (	(Claimant/Guardian):	KYC Acknowledgment attached	KYC form atta	ached
the claimant named hereinabove, hereby inform you about the demise of the below mentioned unitholder(s) and request you to transmit the Units held to be excessed unitholder(s) in my favour in my capacity as —  Non.   Name   Legal Heir   Successor to the Estate of the deceased   Administrator of the Estate of the deceased    Non.   Name(s) of the Deceased Unitholder(s)   Date of demise*    Name(s) of the Deceased Unitholder(s)   Date of demise*	ax St	tatus: Resident Individual Resident Minor (through Guardian)	NRI PIO Others (please speci	ify)	
Nonline   Legal Heir   Successor to the Estate of the deceased   Administrator of the Estate of the deceased   Nonline   Legal Heir   Successor to the Estate of the deceased   Administrator of the Estate of the deceased   Nonline   Name(s) of the Deceased Unitholder(s)   Date of demise*	lease	e attach relevant proof			
No.   Name(s) of the Deceased Unitholder(s)	eceas	sed unitholder(s) in my favour in my capacity as -			ansmit the Units held b
2 Mr.Ms. 3 Mr.Ms. 4 DDAMAYYY  3 Mr.Ms. 5 DDAMAYYY  1 lease attach certified copy of Death Certificate.  1 No. Scheme Name Folio No. No. of units					Date of demise*
a. Mr/Ms.    clease attach certified copy of Death Certificate.     clease No.	1	Mr./Ms.			
lease attach certified copy of Death Certificate.  hence(s) & Folio(s) in respect of which Transmission of Units is being requested  No. Scheme Name Folio No. No. of units % of Claim  1	2	Mr./Ms.			
neme(s) & Folio(s) in respect of which Transmission of Units is being requested  No. Scheme Name Folio No. No. of units % of Claim  1 2 3 4 5 5 8 per Nomination OR as per the Will/Probate/Succession Certificate/ Court order, if applicable.  Intact details of the Claimant  obile No.: + 9 1 Land Line No.: 8 T D -  mail Address:  dress (Please note that address will be updated as per Nominee's address on KYC form / KYC Registration Agency records)  ddress Line 1  ddress Line 2  dry Pin State  nk Account Details of Holder no.1  ank Name  cocount number:   IFSC Code (11 Digit):    fc Type (Pls 🗸):   Savings   Current   NRE   NRO   FCNR   Others   MICR Code (9 Digit):	3.	Mr./Ms.			
2 3 3 4 4 5 5		-	-	No. of u	nits % of Claim
4	1				
A sper Nomination OR as per the Will/Probate/Succession Certificate/ Court order, if applicable.  Solution of the Claimant  Indicated details of the Claiman	2				
s per Nomination OR as per the Will/Probate/Succession Certificate/ Court order, if applicable.    Sometiment of the Claimant					
Is per Nomination OR as per the Will/Probate/Succession Certificate/ Court order, if applicable.  Soutact details of the Claimant  It is per Nomination OR as per the Will/Probate/Succession Certificate/ Court order, if applicable.  Soutact details of the Claimant  It is per Nomination OR as per the Will/Probate/Succession Certificate/ Court order, if applicable.  Soutact details of the Claimant  It is per Nomination OR as per the Will/Probate/Succession Certificate/ Court order, if applicable.  It is per Nomination OR as per the Will/Probate/Succession Certificate/ Court order, if applicable.  It is per Nomination OR as per the Will/Probate/Succession Certificate/ Court order, if applicable.  It is per Nomination OR as per the Will/Probate/Succession Certificate/ Court order, if applicable.  It is per Nomination OR as per the Will/Probate/Succession Certificate/ Court order, if applicable.  It is per Nomination OR as per the Will/Probate/Succession Certificate/ Court order, if applicable.  It is per Nomination OR as per the Will/Probate/Succession Certificate/ Court order, if applicable.  It is per Nomination OR as per the Will/Probate/Succession Certificate/ Court order, if applicable.  It is per Nomination OR as per the Will/Probate/Succession Certificate/ Court order, if applicable.  It is per Nomination OR as per the Will/Probate/Succession Certificate/ Court order, if applicable.  It is per Nomination OR as per the Will order ord					
Interest details of the Claimant  Interest (Please note that address will be updated as per Nominee's address on KYC form / KYC Registration Agency records)  Interest (Please note that address will be updated as per Nominee's address on KYC form / KYC Registration Agency records)  Interest (Please note that address will be updated as per Nominee's address on KYC form / KYC Registration Agency records)  Interest (Please note that address will be updated as per Nominee's address on KYC form / KYC Registration Agency records)  Interest (Please note that address will be updated as per Nominee's address on KYC form / KYC Registration Agency records)  Interest (Please note that address will be updated as per Nominee's address on KYC form / KYC Registration Agency records)  Interest (Please note that address will be updated as per Nominee's address on KYC form / KYC Registration Agency records)  Interest (Please note that address will be updated as per Nominee's address on KYC form / KYC Registration Agency records)  Interest (Please note that address will be updated as per Nominee's address on KYC form / KYC Registration Agency records)  Interest (Please note that address will be updated as per Nominee's address on KYC form / KYC Registration Agency records)  Interest (Please note that address will be updated as per Nominee's address on KYC form / KYC Registration Agency records)  Interest (Please note that address will be updated as per Nominee's address on KYC form / KYC Registration Agency records)  Interest (Please note that address will be updated as per Nominee's address on KYC form / KYC Registration Agency records)		Name of the OR and the HERITA I and the Control of	4 1		
In the land Line No.: S T D - State    Comparison of the land of	s per	r Nomination OK as per the will/Probate/Succession Certificate/ Cour	i oraer, ij аррисавіе.		
Iddress (Please note that address will be updated as per Nominee's address on KYC form / KYC Registration Agency records)  Address Line 1  Address Line 2  City Pin State  Ink Account Details of Holder no.1  Bank Name  Account number:	ntac	t details of the Claimant			
Address (Please note that address will be updated as per Nominee's address on KYC form / KYC Registration Agency records)  Address Line 1  Address Line 2  City Pin State  Account Details of Holder no.1  Bank Name  Account number:	Iobile	e No.:   +   9   1	Land Line No.: S T D -		
Address Line 1 Address Line 2 City Pin State  MRK Account Details of Holder no.1  Bank Name  Account number:	mail.	Address:			
Address Line 2  City Pin State  Ink Account Details of Holder no.1  Sank Name  Account number:                                Account number:	ldres	ss (Please note that address will be updated as per Nominee's address on KYC fo	orm / KYC Registration Agency records)		
Pin State  Ink Account Details of Holder no.1  Sank Name  Account number:	ddre	ess Line 1			
ank Account Details of Holder no.1  Sank Name  Account number:	ddre	ess Line 2			
ank Name  .ccount number :	ity	Pin	State		
ank Name  .ccount number :	nk A	Account Details of Holder no.1	I I		
Account number :     IFSC Code (11 Digit):       ✓c Type (Pls ✓) :     Savings     Current     NRE     NRO     FCNR     Others     MICR Code (9 Digit):					
/c Type (Pls ✓): Savings Current NRE NRO FCNR Others MICR Code (9 Digit):			IFSC Code (11 Digi	t):	
	//C 13	ype (115 v ). Savings Current NRE NRO FCNR C	MICK Code (9 Digi	·y.	
	Name	of bank branch		Pin	



I also request you to pay the UNCLAIMED amounts, if any, in respect of the deceased unitholder(s) to me by direct credit to the bank account mentioned above.

Additional KYC information (Please tick $\checkmark$ which	chever is applicable)	
Occupation Details		
Private Sector Service Public Sector Ser	vice Government Service Business	Professional Agriculturist Retired
☐ Home Maker ☐ Student ☐ Forex Dealer	Others (Please specify)	
The claimant is a Politically Exposed Person	Related to a Politically Exposed Person Neither (	(not applicable)
Gross Annual Income (₹) Below 1 Lac 1-5	Lacs 5-10 Lacs 10-25 Lacs 25 Lacs-1crore	>1 crore
FATCA and CRS details		
Country of Birth:	Place of Birth:	
Nationality		
Are you a tax resident of any country other than In-	dia? Yes No.	
	ou are resident for tax purposes and the associated Taxp	payer Identification Number and its identification type in
the column below:		
Country	Tax-Payer Identification Number	Identification Type
Name of the original to the second se		
Nomination <sup>®</sup> (Please ✓ one of the options below)		
	lease tick \(\sqrt{if you do not wish to nominate anyone}\)	
folio in the event of my / our death.	ate the person/s more particularly described in the attac	<b>thed Nomination Form</b> to receive the Units held my/our
Guardian of a minor is not allowed to make a non-	nination on behalf of the minor	
,		
Declaration and Signature of Claimant/s		
_	d documents as indicated in the attached Ready Reckor	nar.
	true and correct to the best of my knowledge and belief	
I undertake to keep  above information in future and also undertake to	Mutual Fund / its A provide any other additional information as may be re	MC/RTA informed about any changes/modification to the quired by the AMC / RTAs
I hereby authorize		its AMC/RTA to share/disclose any of the information
		stributor / Investment Advisor and to such other service
		account details. I / We also authorize the Mutual Fund &
its AMC/RTA to provide/share any of the inform authorities/agencies as required by law without a		Mutual Fund to any governmental or statutory or judicial
authornies/agencies as required by law without a	ny congation of informing me/us of the same.	
Place Sig	nature of Claimant	
Date	**	
	Signed before me	
At:		
On:		
	;	Signature of Notary / JMFC Official stamp & seal of the
		Notary Magistrate/ Notary & Regn. No.
	Judicial Magistrate First Class (JMFC) OR a Public No	otary if the aggregate value of the Units being transmitted
is more than ₹ 2 lakhs		
Documents Attached:		
Copy of Death Certificate of the deceased unitho		(in case the Claimant is a minor)
Copy of PAN Card of Claimant / Guardian		DR KYC form of Claimant
Cancelled cheque with claimant's name printed C	OK U Claimant's Bank Statement/Passbook	
Nomination Form duly completed	1 / 254	'w 1'
	nk a/c. (if the aggregate value of the Units being transm	inted is up to < 2 lakh)
Annexure-II - Bond of Indemnity furnished by Lo		
Annexure-III - Individual Affidavits given EACH Annexure-IV - NOC from other Legal Heirs	r regar riell	
1 12 Innexure-1 v - NOC Holli otilel Legal fiell's		



## FORM FOR FRESH NOMINATION / CHANGE OF EXISTING NOMINATION/ CANCELLATION OF NOMINATION

Applicable for Individual Unitholders only - whether holding Units Singly or Jointly with other holders

Please read the instructions carefully before filling up this form

Name o	f 1st Holder		
Name o	f 2nd Holder		
Name o	f 3rd Holder		
I/We, th	e above named Unitholders of		Mutual Fund, do hereby
Nom	ninate the person(s) more particularly described	hereunder to receive the Units held my/our Folio/s list	ed below in the event of my / our death and/or
	cel the nomination(s) made by me / us previous nichever is applicable).	ly in respect of the units held by me/ us in the Folio/s l	isted below
S.No.		Scheme Name	Folio No.
1			
2			
3			
4			
Name	of the 1st Nominee :		% of Allocation :
PAN o	f the Nominee/Guardian*:	Date of	Birth of Nominee*: DDMMMYYYYY
Name	of the Guardian* :		
	an's Relationship with Nominee : Moth of relationship : Birth Certificate School	er Father Legal Guardian  ol Leaving Certificate Passport Others	
Addres	ss:		
City:		State :	PIN
Name	of the 2nd Nominee :		% of Allocation:
PAN o	f the Nominee/Guardian*:	Date of	Birth of Nominee*: D D M M Y Y Y Y
Name	of the Guardian*:		
	ian's Relationship with Nominee : Moth of relationship : Birth Certificate School	er Father Legal Guardian ol Leaving Certificate Passport Others	
Addres	ss :		
City:		State :	PIN
Name	of the 3rd Nominee :		% of Allocation :
	f the Nominee/Guardian*:	Date of	
		Date of	FBirth of Nominee* : D D M M Y Y Y Y
	of the Guardian* :  an's Relationship with Nominee : Moth	er Father Legal Guardian	
	-	ol Leaving Certificate Passport Others	
Addres	ss:		
City:		State :	PIN
*applica	able in case the Nominee is a Minor. (Also, plea	ase attach a copy of the minor's birth certificate)	
I/\	We <b>DO NOT</b> wish to make a nomination. (Plea	use tick $\checkmark$ if the unitholder does not wish to nominate a	nyone)
	ve read and understood the instructions on nominates all previous nominations made by me/us in		e to abide by the same. The instructions contained herein
×		×	×
	Signature of the 1st unitholder	Signature of the 2nd unitholder	Signature of the 3rd unitholder



#### Instructions

- 1. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
- Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
- Nomination is not allowed in a folio of a Minor unitholder.
- If the units are held jointly (i.e., in case of multiple unitholders in the folio), all joint holders need to sign the Nomination Form (even if the mode of holding/operation is on "Anyone or Survivor" basis).
- 5. A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided.
- Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
- 8. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
- 9. Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/ share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees.
- 10. Every new nomination for a folio/account shall overwrite the existing nomination, if any
- 11. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
- 12. Nomination shall stand rescinded upon the transfer of units.
- 13. Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed equally amongst the surviving nominees.
- 14. Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
- 15. Cancellation of Nomination: Request for cancellation of Nomination made can be made only by the unitholders. The nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer / transmit the units in favour of the Nominee.
- 16. Unitholders who do not wish to nominate are required to confirm the same by indicating their choice in the space provided in the nomination form.
- The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
- 18. In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

\*\*\*\*



## Annexure I

Date: D D M M Y Y Y Y

## BANK ATTESTATION OF ACCOUNT DETAILS & ACCOUNT-HOLDER'S SIGNATURE

(where aggregate value of investment under all folios is up to ₹ 2 lakhs)
{To be issued on the Bank's Letter Head

This form itself with Bank Official's name and Employee code mentioned & Bank seal affixed in the space below}

	<u>TO W</u>	HOMSOEVER	RIT MAY CONC	ERN
This is to certify that Mr. / Ms.				
is a customer of our bank, namely,			Name of the	Bank
the following Bank Account:				branch having
Account number :				
A/c Type (Pls ✓) : Savings	Current NRE	NRO	FCNR Oth	ners
MICR Code (9 Digit) :			IFSC Code (11 Dig	git):
His/her address, as per our Bank records	s, is as follows:			
City	Pi	n		State
Signature Verification by Bankers:  Signature of the above customer in the box alongside, verified & validated with his/her specimen signature as per Bank's records	×			
signature as per Dank's records			Signature	of the client
Signature of the bank official with Bank's Seal	x			
Name* of the attesting Bank Official				
Designation*				
Employee Code*				
Telephone Number*				

<sup>\*</sup> Mandatory



## Bond of Indemnity to be furnished jointly by all Legal Heirs including the Claimant (To be submitted on Non-judicial Stamp Paper of appropriate value)

[For Transmission of Units without production of Legal Representation on death of Sole Unit Holder or all Unit Holders in case of Joint Holding, where no nomination has been registered]

That Mr./Ms.		Name of the deceased unit holder	was holding the U	Inits in foll	owing schemes/folios
S.No.		Scheme Name	Folio No		No. of units held
1					
2					
3					
4					
That the afore	said unit holder died intestate on	, without registering a	ny nominee/s leaving behind	l him/her th	ne following persons a
the only survi	ving legal heirs, according to the La	w of Intestate Succession applicable to him/her by wh	nich he/she was governed at	the time of	his/her death.
S.No.	S.No. Name of the Claimant/s Address			Age	Relationship with the Deceased
1					
2					
3					
4					
I/We hereby j	ointly and severely agree and under	rtake to indemnify and keep indemnified, saved, defe s, costs, claims, actions, demands, risks, charges, expe	nded, harmless, the aforesai	d Mutual F	
incur by reaso	on of your, at my/our request, transfe	erring the said Mutual Fund units as herein above men	tioned, to the undersigned M	Ir./Ms	±
without insist	ing on production of a Succession C	ertificate or an order of the court of competent jurisdi	ction.		."
	WHEREOF the said Mr./Ms.				
IN WITNESS			# have he	raunto sat	their respective hand
	day of			reunto set	their respective hand
and seals this	day of			reunto set	their respective hand
and seals this Signed and de	day ofelivered by the said legal heir/s.				•
and seals this Signed and de	day of		Signature o		•
and seals this Signed and de	day ofelivered by the said legal heir/s.		Signature o		their respective hand
and seals this Signed and de	day ofelivered by the said legal heir/s.		Signature o		•

- (\*) = Name of the deceased unit holder
- (#) = Name of the claimant/s



# SURETY

I/we, the undersign	ned Surety, certify that the above facts are true to the best of my/our kn	owledge and bind myself/ourselves as	Surety to make good all claims
sustain, incur or be	nages, demands, expenses and losses which the		al Fund and its successors, assigns
S.No.	Sureties Name & Address (Mandatory)	Signatur	e of the Surety
1.		×	
2.		x	
	Signed before me	'	
at :			
on:		X Signati	ure of Notary / JMFC



#### Annexure III

# Individual Affidavits to be given by ALL the Legal Heirs (For Transmission of Units on death of Sole Unit Holder / all Unit Holders in case of joint holding, where NO NOMINATION has been registered)

Each Deponent (legal heir) shall sign separate Affidavits.

/ .1				
son / da	ughter			
residing do herel	at by solemnly affirm and state on oath as follows.			
That Mr	:/Mrs			@ ("the deceased
Unithol	der") held the following units in	Mutual l	Fund in his / her name as single	e holder / joint holder:
S.No.	Schei	ne Name	Folio No.	No. of units held
1				
2				
3				
at OI Th	nat the aforesaid deceased Unitholder died tes	any nominee. *  state, leaving behind him/her, the following personstering any nominee. *		
S.No.	Name of the Claimant/s	gal Heirship Certificate* / Probated Will is attached  Address	Age	Relationship with
5.110.	Traine of the Chambanos	Autres		the Deceased
1				
_				
2				
3				
That an	years is a minor and is being represented s / her father / mother / legal guardian.	by Mr./Ms.		
That an being hi	years is a minor and is being represented			
That an being hi I also in with this	years is a minor and is being represented s / her father / mother / legal guardian.	by Mr./Ms.		
That an being hi I also in with this	years is a minor and is being represented s / her father / mother / legal guardian.  demnify the	by Mr./Ms.		age
That an being hi I also in with thin	years is a minor and is being represented s / her father / mother / legal guardian.  dennify the	by Mr./Ms Mutual Fund and its AMC and a	uthorized Registrar through a state or original attested copy o	separate Indemnity lette
3 That an being hi I also in with thin DEPO I hereby nothing	years is a minor and is being represented s / her father / mother / legal guardian.  dennify the	by Mr./Ms Mutual Fund and its AMC and a  VERIFICATION  n above is true and correct. The original Death Certifi	uthorized Registrar through a state or original attested copy o	separate Indemnity lette
3 That an being hi I also in with thin DEPO I hereby nothing	years is a minor and is being represented s / her father / mother / legal guardian.  demnify the	by Mr./Ms Mutual Fund and its AMC and a Mutual Fund and its AMC and a VERIFICATION  In above is true and correct. The original Death Certification to contract and entitled to rights and benefits of	uthorized Registrar through a state or original attested copy o	separate Indemnity lette
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#### Annexure IV

[To be submitted in non-judicial stamp paper as per the value prescribed by the respective State]

#### No-Objection Certificate from the Legal Heir(s)

Format of NOC from other Legal Heir(s) for Transmission of Units in favour of the Claimant wherein the Sole Holder OR all Joint Holders in the folio(s) are deceased

#### WITHOUT REGISTERING ANY NOMINATION

## TO WHOMSOEVER IT MAY CONCERN

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# ANNEXURE A

S.No.	Name of the State	Indemnity Bond for Transmission	Affidavit for Transmission
1	Gujrat	100	20
2	Karnataka	200	20
3	West Bengal	50	10
4	Tamilnadu	80	20
5	Maharashtra	500	100
6	Delhi	100	10
7	Rajasthan	200	50
8	Uttar Pradesh	100	10
9	Telangana/Andhra Pradesh	100	10
10	Kerala	100	50